

**Schools Financial Services
Compliance Comparison**

Table 1 - from Sept 2021 to Mar 2022

Table 2 - from Apr 2022 to Aug 2022

Table 3 - from Sept 2022 to Present

	Total 2021-22
Total schools tested:	110
Total questions within each compliance visit	120
If process/procedure not in place:	
Total number of Critical recommendations	63
Total number of Requires Action recommendations	57
Total number of processes/procedures tested in all schools	13,200
Total number of processes/procedures not in place for all schools tested	1,113
% processes/procedures NOT in place	<u>8.43%</u>

	Total 2022-23
Total schools tested:	48
Total questions within each compliance visit	120
If process/procedure not in place:	
Total number of Critical recommendations	63
Total number of Requires Action recommendations	57
Total number of processes/procedures tested in all schools	5,760
Total number of processes/procedures not in place for all schools tested	651
% processes/procedures NOT in place	<u>11.30%</u>

	Total 2022-23
Total schools tested:	30
Total questions within each compliance visit	118
If process/procedure not in place:	
Total number of Critical recommendations	60
Total number of Requires Action recommendations	58
Total number of processes/procedures tested in all schools	3,540
Total number of processes/procedures not in place for all schools tested	285
% processes/procedures NOT in place	<u>8.05%</u>

Critical recommendations by Category	Total Questions Per School	Total Questions	Processes Not in Place	%	% Variance 17-18 to 18-19	Total Questions Per School	Total Questions	Processes Not in Place	%	Total Questions Per School	Total Questions	Processes Not in Place	%	% Variance 18-19 to 19-20	Removed	Removed
	Governance & Leadership	8	880	65	7.39%	7.39%	8	384	33	8.59%	7	210	17	8.10%	0.71%	1.01e
Finance Policy	2	220	17	7.73%	7.73%	2	96	6	6.25%	2	60	9	15.00%	7.27%		
Financial Planning and Monitoring	6	660	65	9.85%	9.85%	6	288	29	10.07%	6	180	16	8.89%	-0.96%		
Bank Account and Petty Cash	15	1,650	153	9.27%	9.27%	15	720	108	15.00%	13	390	21	5.38%	-3.89%	3.03b	3.03c
Financial Controls	15	1,650	37	2.24%	2.24%	15	720	19	2.64%	15	450	15	3.33%	1.09%		
Payroll	7	770	101	13.12%	13.12%	7	336	67	19.94%	7	210	17	8.10%	-5.02%		
Procurement	7	770	109	14.16%	14.16%	7	336	62	18.45%	7	210	34	16.19%	2.03%		
Assests	3	330	16	4.85%	4.85%	3	144	11	7.64%	3	90	4	4.44%	-0.40%		
	63	6,930	563	8.12%	8.12%	63	3,024	335	11.08%	60	1,800	133	7.39%	-0.74%		
Requires Action recommendations by category															Added	Added
Governance & Leadership	12	1,320	123	9.32%	9.32%	12	360	86	23.89%	13	390	47	12.05%	2.73%	1.01e	
Finance Policy	12	1,320	119	9.02%	9.02%	12	360	57	15.83%	12	360	32	8.89%	-0.13%		
Financial Planning and Monitoring	9	990	80	8.08%	8.08%	9	270	36	13.33%	9	270	23	8.52%	0.44%		
Bank Account and Petty Cash	3	330	62	18.79%	18.79%	3	90	34	37.78%	3	90	11	12.22%	-6.57%		
Financial Controls	9	990	18	1.82%	1.82%	9	270	14	5.19%	9	270	1	0.37%	-1.45%		
Payroll ←←←←←	0	0	4	0.00%	0.00%	0	0	1	0.00%	0	0	0	0.00%	0.00%		
Procurement	6	660	64	9.70%	9.70%	6	180	47	26.11%	6	180	14	7.78%	-1.92%		
Assests	6	660	80	12.12%	12.12%	6	180	41	22.78%	6	180	24	13.33%	1.21%		
	57	6,270	550	8.77%	8.77%	57	1,710	316	18.48%	58	1,740	152	8.74%	-0.04%		
Overall Total	120	13,200	1,113	8.43%	8.43%	4,734	651	13.75%		3,540	285	8.05%	-0.38%			